

REPORT DOCUMENTATION PA

AD-A239 518

Approved
1704-0188

1a. REPORT SECURITY CLASSIFICATION Unclassified			1b. RE N		
2a. SECURITY CLASSIFICATION AUTHORITY N/A			3. DIS Unlimited		
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE N/A			Approved for public release; Distribution Unlimited		
4. PERFORMING ORGANIZATION REPORT NUMBER(S)			5. MONITORING ORGANIZATION REPORT NUMBER(S)		
6a. NAME OF PERFORMING ORGANIZATION Cornell University		6b. OFFICE SYMBOL (If applicable) N/A		7a. NAME OF MONITORING ORGANIZATION Office of Naval Research	
6c. ADDRESS (City, State, and ZIP Code) Office of Sponsored Programs 123 Day Hall Ithaca, NY 14853		7b. ADDRESS (City, State, and ZIP Code) Resident Representative N62927 Administrative Contracting Officer 33 Third Avenue - Lower Level New York, NY 10003-9998			
8a. NAME OF FUNDING/SPONSORING ORGANIZATION Department of the Navy		8b. OFFICE SYMBOL (If applicable)		9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER N00014-86-K-0531	
8c. ADDRESS (City, State, and ZIP Code) Office of the Chief of Naval Research 800 North Quincy Street, Code 1513:CMB Arlington, VA 22217-5000		10. SOURCE OF FUNDING NUMBERS			
		PROGRAM ELEMENT NO.		PROJECT NO.	TASK NO.
				WORK UNIT ACCESSION NO.	
11. TITLE (Include Security Classification) "Innovative Optoelectronic Materials and Structures using OMVPE"					
12. PERSONAL AUTHOR(S) James R. Shealy					
13a. TYPE OF REPORT Final Technical Report		13b. TIME COVERED FROM 4/86 TO 12/88		14. DATE OF REPORT (Year, Month, Day) 91/08/09	
15. PAGE COUNT 5					
16. SUPPLEMENTARY NOTATION					
17. COSATI CODES			18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)		
FIELD	GROUP	SUB-GROUP	III-V semiconductors, Optoelectronics, Crystal growth		
19. ABSTRACT (Continue on reverse if necessary and identify by block number) The materials investigations in this program have contributed to extending the wavelength range of high power diode lasers and to the development of improved OMVPE processes. The main research thrusts are OMVPE growth of wide bandgap alloys, and in-situ processes during OMVPE. Growth of large bandgap III-V phosphide alloys was developed for optoelectronic applications including red semiconductor lasers. The lattice matched materials system AlGaInP/GaAs resulted in high power, low threshold single quantum well lasers ($\lambda \approx 655$ nm). A materials investigation of a potentially shorter wavelength system, pseudomorphic GaInP/AlGaP-GaP, was initiated. Conventional growth was unsuccessful as the In containing alloys do not remain stable during high temperature growth of AlGaP. A multichamber OMVPE process employing flow modulation techniques was planned to overcome this problem. In-situ diagnostic techniques for OMVPE potentially offer real time measurements of growth rate and alloy composition. The feasibility to use Raman Spectroscopy during OMVPE was established by performing measurements on epitaxial III-Vs at high temperature (post growth). The phonon frequency shifts due to lattice expansion were measured and a temperature-tuned resonance was observed on GaAs at 600°C.					
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT <input type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT <input type="checkbox"/> DTIC USERS			21. ABSTRACT SECURITY CLASSIFICATION UNCLASSIFIED		
22a. NAME OF RESPONSIBLE INDIVIDUAL James R. Shealy			22b. TELEPHONE (Include Area Code) (607) 255-4657		22c. OFFICE SYMBOL

Table of Contents

I. Introduction	2
II. Progress	2
a) Visible Laser Materials & Devices	2
b) OMVPE In-Situ Raman Probe	3
III. Publications, Presentations, Patents & Graduate Students	3

I. Introduction

This program has focused on extending the range of III-V compound semiconductor materials which are useful in optoelectronic devices. To this end improvements in OMVPE processes were sought by establishing an in-situ diagnostic technique, and new III-V phosphide structures were grown by OMVPE. The first high power red laser source was demonstrated using AlGaInP heterostructures lattice matched to GaAs. Other visible materials systems for potentially shorter wavelength laser sources were identified and investigated. Raman Spectroscopy of epitaxial III-V compounds was investigated at high temperatures (up to 700°C). The relative temperature insensitivity of the observed spectra suggests this technique to be applicable as an in-situ probe. These investigations have contributed to the design of an improved OMVPE apparatus for new III-V materials and structures for use in optoelectronic devices.

IIA. Visible Laser Materials & Devices

Two materials systems were studied during this program, AlGaInP lattice matched to GaAs and AlGaP latticed matched to GaP with pseudomorphic GaInP direct bandgap regions. The former system has been extensively studied and characterized. Visible single quantum well GRIN-SCH laser diodes were fabricated and tested. The system on GaP was studied by first optimizing the growth of AlGaP alloys on GaP and then by attempting the growth of pseudomorphic GaInP/GaP structures. At moderate substrate temperatures ($\approx 750^\circ\text{C}$) the In inter-diffused throughout the structure preventing quantum well fabrication.

Pulsed, room temperature operation of an AlGaInP graded-index separate confinement heterostructure laser grown by OMVPE was achieved. The laser active region consisted of a single 100 Å $\text{Ga}_{0.5}\text{In}_{0.5}\text{P}$ quantum well and 1600 Å graded index regions on both sides of the well. The graded index regions were produced by lattice-matched graded composition $(\text{Al}_y\text{Ga}_{1-y})_{0.5}\text{In}_{0.5}\text{P}$ quaternary alloys. This structure reduces the broad-area threshold current compared to a double heterostructure laser, with pulsed thresholds as low as 1050 A/cm². Total pulsed power of 1.4 W at 658 nm was measured from an 80 $\mu\text{m} \times 300 \mu\text{m}$ mesa-stripe laser. Differential quantum efficiencies as high as

91-08016



01

9

$\approx 56\%$ was measured. By examining the cavity length dependence of the threshold current density and quantum efficiency, it is apparent that the quantum well gain has not saturated in these structures. This suggests that devices containing a thinner single quantum well active region may result in a further reduction in threshold current density for visible lasers. These devices produce comparable powers as their AlGaAs counterparts making them attractive as visible diode pump sources for solid state laser systems.

Films of $\text{Al}_x\text{Ga}_{1-x}\text{P}$ were grown with composition $x \leq 0.8$ on GaP substrates. The electronic and vibrational properties of the alloys were investigated using electrolyte electroreflectance and Raman spectroscopy, respectively. The compositional dependence of the phonon frequencies and electronic transition energies E_0 and E_1 were evaluated from the measured spectra. There are significant discrepancies between the vibrational frequencies obtained in this experiment and the previous literature. However, the dependence of E_0 and E_1 on composition is in good agreement with a previous experiment ($x \leq 0.58$). However, because of the greater composition range investigated, accurate evaluations of the nonlinear composition dependence (bowing parameter) for these two transitions were obtained. Lower growth temperature processes are required for this alloy in order to pursue the pseudomorphic visible laser system on GaP.

IIB. OMVPE In-Situ Raman Probe

The properties of several III-V compound semiconductor alloys, namely, GaAs, AlGaAs, and GaInP, have been studied at high temperatures using Raman spectroscopy. The temperature range used for these measurements includes the thermal dissociation temperatures for GaAs and GaInP in vacuum. The Raman spectra taken from the thermally dissociated surface yield information on the crystallinity (or lack of it) after decomposition in vacuum occurs. The phonon frequency shifts were determined with temperature, and found that the linewidth broadening of the Raman peaks at high temperatures (700°C) is minimal. These data establish the feasibility to acquire Raman spectra of these materials and deduce their alloy composition and layer thickness during epitaxial growth.

III. Publications, Presentations, Patents & Graduate Students

Publications - SDIO Cited

1. G.W. Wicks, D.P. Bour, J.R. Shealy, and J.T. Bradshaw, "Characterization by Raman Spectroscopy of GaInP, AlInP and GaAs Single Layers and Superlattices," *Inst. Phys. Conf. Ser.*, presented at Int. Symp. GaAs and Related Compounds, Los Vegas, Nevada, 83 ch. 4, 257-62 (1986).
2. D.P. Bour, J.R. Shealy, G.W. Wicks, and W.J. Schaff, "Optical Properties of $\text{Al}_x\text{In}_{1-x}\text{P}$ Grown by Organometallic Vapor Phase Epitaxy," *Appl. Phys. Lett.*, **50** (10), 615-17 (March 1987).
3. J.R. Shealy and G.W. Wicks, "Investigation by Raman Scattering of the Properties of III-V Compound Semiconductors at High Temperature," *Appl. Phys. Lett.*, **50**(17), 1173-75 (April 1987).



Dist	Avail. and/or Special
A-1	

4. D.P. Bour and J.R. Shealy, "High-Power (1.4 W) AlGaInP Graded-Index Separate Confinement Heterostructure Visible ($\lambda \sim 658$ nm) Laser," *Appl. Phys. Lett.*, 51(21), 1658-60 (November 1987).
5. D.P. Bour, J.R. Shealy, and S. McKernan, "Ga_{0.5}In_{0.5}P/GaAs Interfaces by Organometallic Vapor-Phase Epitaxy," *J. Appl. Phys.*, 63 (4), 1241-43 (February 1988).
6. S. McKernan, B.C. DeCooman, C.B. Carter, D.P. Bour, and J.R. Shealy, "Direct Observation of Ordering in (GaIn)P," *J. Mater. Res.*, 3 (3), 406-9 (May/June 1988).
7. S. O'Brien, D.P. Bour, and J.R. Shealy, "Disordering, Intermixing, and Thermal Stability of GaInP/AlInP Superlattices and Alloys," *Appl. Phys. Lett.*, 53 (19), 1859-61 (November 1988).
8. D.P. Bour, J.R. Shealy, A. Ksendzov, and Fred Pollak, "Optical Investigation of Organometallic Vapor Phase Epitaxially Grown Al_xGa_{1-x}P," *J. Appl. Phys.*, 64(11), 6456-59 (December 1988).
9. B.C. DeCooman, C.B. Carter, K.T. Chan, and J.R. Shealy, "The Characterization of Misfit Dislocations at {100} Heterojunctions in III-V Compound Semiconductors," *Acta Metall.*, 37(10), 2779-93 (1989).
10. S. O'Brien, J.R. Shealy, D.P. Bour, L. Elbaum, and J.Y. Chi, "Effects of Rapid Thermal Annealing and SiO₂ Encapsulation on GaInAs/AlInAs Heterostructures," *Appl. Phys. Lett.*, 56(14), 1365-67 (April 1990).
11. S. O'Brien, J.R. Shealy, V.K.F. Chia, and J.Y. Chi, "Selective Interdiffusion of GaInAs/AlInAs Quantum Wells by SiO₂ Encapsulation and Rapid Thermal Annealing," *J. Appl. Phys.*, 68(10), 5256-61 (November 1990).

Patent - SDIO

1. D.P. Bour and J.R. Shealy, "AlGaInP GRIN-SCH Laser," Serial # 247206, Pat. Office (Filed Sept. 21 1988).

Presentations

1. J.R. Shealy and D.P. Bour, "Growth and Characterization of Visible Diode Lasers," *Invited Paper, CLEO 1988, Anaheim, Ca.*, (April 1988).
2. S. O'Brien and J.R. Shealy, "Selective Disordering and Thermal Stability of GaInP/AlInP Superlattices and Alloys," *GaAs-Related Compounds, Atlanta, Ga.*, (Sept. 1988).
3. J.R. Shealy, "Present Status of Visible Diode Lasers," *Invited Paper, IEEE LEOS 1988, Santa Clara, Ca.*, (Nov. 1988).
4. J.R. Shealy, "Progress on Visible Lasers in AlGaInP," *Invited Paper, 12th Annual IEEE Electron Devices Meeting-Western New York, Rochester, N.Y.*, (Nov. 1988).

5. S. McKernan, C.B. Carter, D.P. Bour, and J.R. Shealy, "A Study of Defects in Ordered Ternary Semiconductor Layers," *1988 Fall Meeting-Materials Research Society, Boston, Mass.*, (Nov. 1988).
Graduate Students - SDIO

B. Pitts

S. O'Brien